

Attorney Docket No.: 60712 (49321)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

H. HATANAKA et al.

U.S.S.N.:

10/767,635

**GROUP ART UNIT:** Not Yet Known

FILED:

January 28, 2004

**EXAMINER:** 

Not Yet Known

FOR:

**IMAGE FORMING APPARATUS** 

## **CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 23, 2004.

By:

Lee Dunkle

Commissioner for Patents
P.O. Box 1450

P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS</u>, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto. The citation of this information by Applicant(s) is not necessarily an admission that the information (1) qualifies as prior art by virtue of its date of publication/availability, and/or that (2) the information is relevant to the patentability of the claims of this application.

Attorney Docket No.: 60712 (49321) Applicant: H. HATANAKA et al. Page 2

II.	<u>COPIES</u>	
	a. <u>X</u>	Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
	b <u>.</u>	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No, filed on The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.
III.		EXPLANATION OF THE RELEVANCE ok at least one box)
	a. <u>X</u>	Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
	b. <u>X</u>	A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
		An English language abstract and partial translation are enclosed for each of the references identified as "BA," "BB," "BC," "BD" and "BE" on the enclosed Form PTO-1449.
	c	The following additional information is provided for the Examiner's consideration:
		<u>FEES</u>
IV.	THIS IDS IS (check one l	BEING FILED UNDER 37 C.F.R. § 1.97(b) DOX)
	a. <u>X</u>	within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1]. No fee or certification is required.
	b	within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required

7

Attorney Docket No.: 60712 (49321) Applicant: H. HATANAKA et al. Page 3

	C	Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).						
V.	THIS IDS IS	BEING FILED UNDER 37 C.F.R. § 1.97(c):						
	before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 3 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).							
	a	No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).						
	b	or See the certification below. No fee is required.						
VI.	STATEMEN	T UNDER 37 C.F.R. § 1.97(e)						
The ı	undersigned h	nereby states that						
	a	each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or						
	b	no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.						
	C	Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.						

Attorney Docket No.: 60712 (49321) Applicant: H. HATANAKA et al. Page 4

	Please charge Deposit Account No. 04-1105 in the amount of \$180.00 the above-indicated fee. A triplicate copy of this paper is attached.			
X	No fee is required.			

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: April 23, 2004

By:

Richard J. Roos Reg. No. 45,053

Attorney for Applicant(s)

EDWARDS & ANGELL, LLP P. O. Box 55874 Boston, MA 02205

Tel: (617) 439-4444 Fax: (617) 439-4170 Customer No.: 21874

FORM PTO-1449				DOCKET NO.:	SERIA	SERIAL NO.:		
INFORMA	TION	I DISCLOSURE		60712 (49321)	10/76	10/767,635		
INFORMATION DISCLOSURE PER 2 6 2004 APR 2 6				APPLICANT(S):				
				H. HATANAKA et al.				
				FILING DATE: GROU		UP NO.:		
		THENTS	ARK OF	January 28, 2004	Not Ye	Not Yet Known		
			UNITE	STATES PATENT DO	CUMENTS			
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	AA							
			FOI	REIGN PATENT DOCU	MENTS			
							·	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES/NO	
:	ВА	5-186121	07/27/93	JAPAN	В65Н	29/58	YES (Abstract and Partial Translation)	
	вв	8-208091	08/13/96	JAPAN	В65Н	29/22	YES (Abstract and Partial Translation)	
	вс	10-301349	11/13/98	JAPAN	G03G	15/00	YES (Abstract and Partial Translation)	
	BD	11-199124	07/27/99	JAPAN	В65Н	33/06	YES (Abstract and Partial Translation)	
	BE	2000-86056	03/28/00	JAPAN	В65Н	31/26	YES (Abstract and Partial Translation)	
	ОТ	HER DOCUME	nts (includ	ING AUTHOR, TITLE,	DATE, PERTI	NENT PAG	ES, ETC.)	
	CA							
EXAMINER:					DATE:	DATE:		

.